Attorney's Docket No.: 12406-187US1 / P2003,0931 US N

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|---|---|---|---|
| Applicant | : Herbert Brunner et al. | Art Unit | : Unknown |
| Serial No. | : To Be Assigned | Examiner | : Unknown |
| Filed | : Herewith | | |
| Title | : RADIATION-EMITTING AND/OR RADIATION-RECEIVING SEMICONDUCTOR COMPONENT AND METHOD FOR THE PRODUCTION THEREOF | | |

**Mail Stop PCT**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request. A copy of a communication from a foreign patent office in a PCT application Serial No. PCT/DE2004/002738 is also enclosed..

This statement is being filed with the application. Please apply any charges to Deposit Account No. 06-1050, referencing 12406-187US1.

Respectfully submitted,

Date: 5/11/06

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| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 12406-187US1 | Application No. 10/578854 To Be Assigned |
|---|---|---|---|
| **Information Disclosure Statement by Applicant** (Use several sheets if necessary)  (37 CFR §1.98(b)) | | Applicant  Herbert Brunner *et al.* | |
| | | Filing Date  Herewith | Group Art Unit |

## U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|---|---|---|---|---|---|---|---|
| | AA | 5,648,687 | 07/15/1997 | Matsuo *et al.* | | | |
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| | AD | 2002/0195935 | 12/26/2002 | Jager *et al.* | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |

## Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation Yes | No |
|---|---|---|---|---|---|---|---|---|
| | AH | DE 102 14119 | 10/23/2003 | Germany | | | Abstract | |
| | AI | EP 1 193772 | 04/03/2002 | Europe | | | | |
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| | AT | WO 01/50540 | 07/12/2001 | WIPO | | | See US 2002/195935 | |
| | AU | | | | | | | |

| Examiner Signature | Date Considered |
|---|---|
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 12406-187US1 | Application No. 10/575 854 To Be Assigned |
|---|---|---|---|
| **Information Disclosure Statement by Applicant** (Use several sheets if necessary) (37 CFR §1.98(b)) | | Applicant Herbert Brunner *et al.* | |
| | | Filing Date Herewith | Group Art Unit |

### Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
|---|---|---|
| | BA | Authorized officer: van der Linden, *International Search Report*, PCT/DE2004/002738, 2005. |
| | BB | |
| | BC | |
| | BD | |

| Examiner Signature | Date Considered |
|---|---|
| | |

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Substitute Disclosure Form (PTO-1449)